

Governance Portal for Regulatory Reform

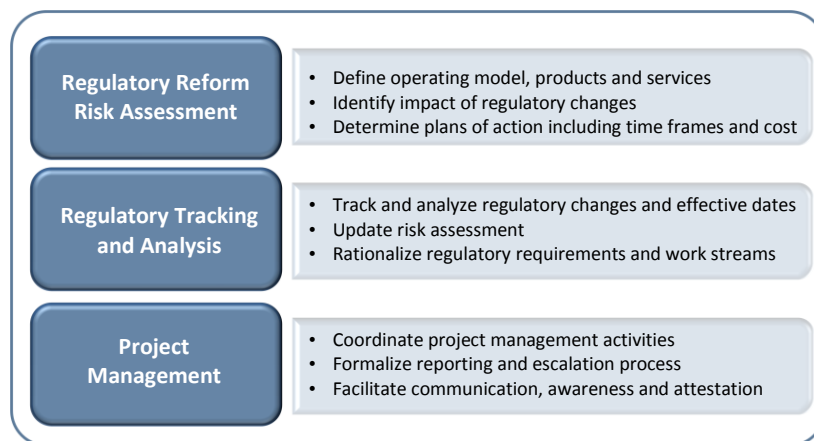
By some counts, the Dodd-Frank Act requires the promulgation of as many as 350 new rules. These new rules will further extend what has already become a very intrusive regulatory climate, and will alter the manner in which some financial services companies do business as well as the products and services they provide.

Managing the Volume and Complexity of Change

Managing this degree of regulatory change will be a formidable undertaking for most companies, from large, global financial institutions that may need to reconcile conflicting requirements of their myriad regulators to U.S. retail banks or non-bank financial companies that may be the most interested in the changes that will be adopted by the Consumer Financial Protection Bureau. Tracking the status of proposed and final regulations itself presents a challenge for the financial services industry and has been complicated by the number of delayed rulemakings and seemingly shifting views in Washington.

Companies that address these changes effectively will have a clear advantage. Those that fail to manage the process well will likely find their companies noncompliant and their risk management practices called into question by their regulators, customers, shareholders and other stakeholders.

The Governance Portal for Regulatory Reform enables a project-based approach to complying with the significant regulatory reform that is expected over the next several years.



The Governance Portal is a market leading GRC software solution used by hundreds of clients around the world, providing visibility and insight needed to manage and mitigate critical risk and compliance issues today and in the future.

Protiviti and Morrison & Foerster LLP (MoFo) have teamed to augment Protiviti's Governance Portal with MoFo's FrankNDodd¹ database and tracking system. The Governance Portal for Regulatory Reform provides companies with a solution that uniquely brings together compliance and governance technology with real-time Dodd-Frank Act-related information and guidance.

The Governance Portal for Regulatory Reform helps clients:

- Determine the status of regulatory developments and changes
- Assess regulatory risk
- Assign accountability and track status of implementation efforts
- Evidence compliance
- Demonstrate effective risk management practices to regulators, customers and other shareholders

¹ FrankNDodd is a trade name of Morrison & Foerster LLP

Improve Your Regulatory Compliance Function Today

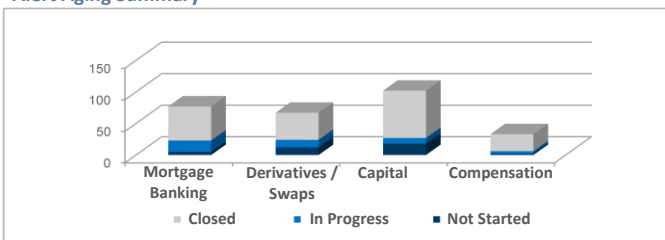
Many companies are finding it harder than ever to stay on top of regulatory changes and manage risk and compliance efforts effectively, all while controlling costs. The Governance Portal aligns risk assessment with corporate goals through a simple methodology of identifying and documenting regulatory objectives, risks and controls. In-depth analysis and a direct stream of regulatory intelligence from MoFo's FrankNDodd database and tracking system support a forward-looking assessment, providing organizations with the tools and automation they need to promote compliance, enhance risk management and manage costs more effectively.

Dashboard

Risk Heat Map

	Rare	Unlikely	Moderate	Likely	Certain
Extremely Serious	3		1	18	12
Major	2	43		3	9
Moderate	3	23	4		1
Minor	43	22	45	32	1
Insignificant	32	44	54	2	

Alert Aging Summary



The Governance Portal for Regulatory Reform enables you to...

- Proactively manage compliance exposure through real-time regulatory updates.
- Ensure that your risk assessments reflect the most recent regulatory developments.
- Focus your attention on high risks by aggregating enterprise-wide information.
- Drive accountability throughout the enterprise, facilitating online collaboration on issues and action items.
- Make decisions based on the current environment and monitor trends through automated alerts.
- Manage certification and review of policy updates through workflow

About Protiviti

Protiviti (www.protiviti.com) is a global business consulting and internal audit firm composed of experts specializing in risk, advisory and transaction services. The firm helps solve problems in finance and transactions, operations, technology, litigation, governance, risk, and compliance. Protiviti's highly trained, results-oriented professionals provide a unique perspective on a wide range of critical business issues for clients. Protiviti has more than 60 locations worldwide and is a wholly owned subsidiary of Robert Half International Inc. (NYSE symbol: RHI). Robert Half International is a member of the S&P 500 index.

About Morrison & Foerster LLP

With more than 1,000 attorneys across the United States, Asia, and Europe, Morrison & Foerster offers comprehensive, global legal services to our clients. We have one of the largest and most well-regarded banking and finance practices in the world, complemented by outstanding capability in other areas as well. The consistently high quality and efficacy of our work across a wide range of practices has been well recognized. We have been included as one of America's top 20 law firms in *The American Lawyer's* A-List for seven consecutive years and recognized in many directories, including *Chambers*, *Legal 500*, *IFLR1000*, *PLC Which lawyer?* and *U.S. News & World Report*.

Contact

To schedule a demo of The Governance Portal for Regulatory Reform contact us at +(800) 889-5474 or RTS.Support@protiviti.com

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